

<b>Assurance Quality Certification LLC</b>	F13 Issue 01 Rev 05
ISO 9001:2015	
Stage 1 Quality Audit Report	

Name of the Organization	<b>CHHATNA CHANDIDAS MAHAVIDYALAYA</b>	
Address	P.O CHHTNA, DIST: BANKURA, PIN-722 132	
Site Address (If any)		
No. of Employees	Teaching = 20, Non-teaching = 0, House-keeping =5, Security =1, Electrician=0, <b>Total = 26</b>	
No. Of Shift	1	
E mail id	<a href="mailto:ccmvoffice@gmail.com">ccmvoffice@gmail.com</a>	
Contact Person	Dr. Malavika Sinha	
Telephone/Fax	9434521209	
Scope	<b>“Teaching, Learning and Evaluation processes relating to awarding of B.A, as well as general learning considering Environment friendly and Energy efficiency manner in College Green Campus”.</b>	
Technical Area	Teaching, Learning and Evaluation, Capacity Building, Skill Enhancement, Institutional Social Responsibility	
Exclusions	Design and development	
Audit Team	Lead Auditor: Amalesh Kumar Mandal Auditor: Technical Expert	Audit duration Man day(s): Technical Expert
Starting date of Audit	21.06.2023	
End Date of Audit	21.06.2023	

<b>Assurance Quality Certification LLC</b>	F13
ISO 9001:2015	Issue 01
Stage 1 Quality Audit Report	Rev 05

Brief about the organization	<p>Chhatna Chandidas Mahavidyalaya started journey on 02/08/2007. Chhatna Chandidas Mahavidyalaya is located in Chhatna Block, Bankura District, West Bengal. It is located 15 KM towards west from District headquarters Bankura. A common belief that the Chhatna is the birthplace of Poet Chandidas (14th Century). College is well connected to the roadway and railway. Nearest railway station is the Chhatna Rail station (SE railway) and college lies in the side of the Bankura Purulia Road. College located in a remote area of Bankura district, catering to the academic needs of the socially backward communities, since the majority of the areas population belongs to them with limited access to educational facilities.</p> <p>This college believes in holistic education rather than simply syllabus-oriented-study and students are provided with opportunity to join NSS Training and study tour etc. The Teachers, Teaching Supporting Staffs, Students, Parents, Alumni along with The Principal convey thanks to you for visiting the website.</p>
Audit Objective	To evaluate the client's documented system, location & site-specific conditions and gather other details through discussions with the client's personnel to determine the organization's readiness for the Stage 2 Audit for Certification

<b>Assurance Quality Certification LLC</b>	F13 Issue 01 Rev 05
ISO 9001:2015	
Stage 1 Quality Audit Report	

**CHANGE DETAIL**

Audit Duration for Stage 1	
Are quoted man-days adequate?	Yes
Any change in employee detail?	None
Any Change in Scope?	None
Any additional Information:	None

**Attendance Sheet**

NAME OF PERSON	DESIGNATION
<i>Amalesh K. Mandal</i>	<b>Lead Auditor</b>
<i>Malavika Sinha</i>	<b>Principal</b>
<i>P. Kumbhakar</i>	<b>IQAC Coordinator</b>
<i>Niharayan Chakri</i>	<b>Member</b> <b>Clean and Green Initiative Committee</b>
<i>Dipanjay Mukherjee</i>	<b>Member</b> <b>Clean and Green Initiative Committee</b>
<i>Dolan Ray</i>	<b>Lady Representative of College</b>
<i>Manas Dutta</i>	<b>Convener</b> <b>Clean and Green Initiative Committee</b>

**SUMMARY OF AUDIT**

<b>Assurance Quality Certification LLC</b>	F13
ISO 9001:2015	Issue 01
Stage 1 Quality Audit Report	Rev 05

AREA OF IMPROVEMET	
(Areas of Improvement Which May be Identified as Non Conformities During Stage 2 Audit)	
1	Communication/Display of policy
2	Communication/Display of Objectives

**Non Conformities Raised**

0 Minor/Major Non conformance identified in the Stage 1 audit, details of Non Conformance in F50.

*Please respond by using your own corrective action form and include the root cause analysis with systemic corrective action. Failure to include root cause analysis with systemic corrective action will result in your responses being rejected by Lead Auditor*

<b>Assurance Quality Certification LLC</b>	F13
ISO 9001:2015	Issue 01
Stage 1 Quality Audit Report	Rev 05

<b>Team Leader Declaration (Tick or cross Each Column as per applicability)</b>	
√	Auditing is based on a sampling process of the available information
√	Audit is combined, joint or integrated;
√	The effectiveness of corrective actions taken regarding previously identified
√	nonconformities has verified
√	Outcomes are effective and complying.
√	The internal audit and management review process are effective and complying with the requirements.
√	The scope of certification is appropriate.
√	The capability of the management system to meet applicable requirements and expected
√	The audit objectives has been fulfilled and achieved.

<b>RECOMMENDATION</b>	
√	Recommended Proceeding With Stage 2 (within 60 days from this audit date)
×	Recommend not proceeding to stage 2 until audit evidence has been submitted to AQC showing that the concerns raised by the auditor (s) have been rectified. A date for stage 2 will then be agreed.
×	Recommend not proceeding without a further stage 1 Audit due to the severity of the concerns raised by the audit team

Sign Off : Date 21.06.2023	
<p>AQC Report Submission</p> <p>Name of Auditor: Amalesh Kumar Mandal</p> <p style="text-align: center;"><i>Amalesh Kumar Mandal</i></p> <p>Signature:</p> <p><b>Authorization: Empanelled Auditor from IAF accredited Certification Body, IRCA and NABET Accredited QMS Auditor, and QCI Certified Certification on ISO 17020:2012.</b></p>	<p>Client Acceptance for Report</p> <p>Name: Malavika Sinha</p> <p>Sign <i>Malavika Sinha</i></p> <p style="text-align: center;"><i>Principal</i> Chhatna Chandidas Mahavidyalaya Chhatna, Bankura</p> <p>Designation:</p>

<b>Assurance Quality Certification LLC</b>	F13 Issue 01 Rev 05
<b>ISO 9001:2015</b>	
<b>Stage 1 Quality Audit Report</b>	

### AUDIT CHECKLIST

<b>REQUIREMENTS</b>	<b>COMMENTS</b>	<b>Status C/NC/O</b>
Is the Information documented as required as per the ISO 9001:2015? Any other functional Specific Requirements?	Manual and other documented information available.	C
Has the discussion been held with personnel of the Client company for readiness for stage-2?	Yes, discussed and finalized as per discussion with the Principal and IQAC coordinator.	C
Has the Client site specific conditions been evaluated?	Admission process to course delivery functions evaluated against standard operating process, found compiled.	C
Has the company identified key performance, Process, Objectives and operation of Management System?	Established and implemented through Quality risk assessment and Quality objectives also been established.	C
Has the client had understanding with the ISO 9001:2015 Standard requirement and other site specific requirements.	Yes, done through training and awareness.  1. Training on Standard given by External body "Management System Consultancy"  2. Other Training reference maintained.  3.	C
Is the scope having boundaries and specific to client organization?	Scope defined in Manual and found as per course delivery.	C
If a client has Multisite then level of control is established.	Not applicable	C
Is the process and Equipment used adequate?	Yes, as per standard requirements and accreditation norms.	C
Has the client identified Legal and Statutory Requirements applicable to Product and Organization?	CHHATNA CHANDIDAS MAHAVIDYALAYA, Affiliated to BANKURA university.	C

<b>Assurance Quality Certification LLC</b>	F13
<b>ISO 9001:2015</b>	Issue 01
<b>Stage 1 Quality Audit Report</b>	Rev 05

Is the resource being adequate for stage 2 audit?	Yes, documented information found established as per standard requirement and organization requirements. So proposed for stage-2 audit. The implementation and monitoring system will be checked in stage-2 audit.	C
Is Internal Audit planned and performed and effective?	Yes	C
Is MRM planned and performed and Effective?	Yes	C
Are Internal audits conducted as planned? Date of Last Internal Audit?	Yes, Internal Audit Report w.r.t Year to year Green monitoring checks found conducted and maintained properly against all possible parameters.	C
Are Management reviews conducted as planned? Date of Last MRM?	Yes, their committee meeting outcome was maintained. All agenda points discussed and records maintained.	C

**END OF REPORT**

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	

Name of the Organization	<b>CHHATNA CHANDIDAS MAHAVIDYALAYA</b>	
Address	P.O CHHTNA, DIST: BANKURA, PIN-722 132	
Site Address (If any)		
No. of Employees	Teaching = 20, Non-teaching = 0, House-keeping =5, Security =1, Electrician=0, <b>Total = 26</b>	
No. of Shift	1	
E mail id	<a href="mailto:ccmvoffice@gmail.com">ccmvoffice@gmail.com</a>  ccmahavidyalaya@gmail.com	
Contact Person	Dr. Malavika Sinha	
Telephone/Fax	9434521209	
Scope	<b>“Teaching, Learning and Evaluation processes relating to awarding of B.A, as well as general learning considering Environment friendly and Energy efficiency manner in College Green Campus”.</b>	
Technical Area	Teaching, Learning and Evaluation, Capacity Building, Skill Enhancement, Institutional Social Responsibility	
Audit Team	Lead Auditor: Amalesh Kr. Mandal Auditor: Technical Expert	No of Mandays: 1
Starting date of Audit	12.07.2023	
End date of Audit	12.07.2023	
Brief about the organization	Chhatna Chandidas Mahavidyalaya started journey on 02/08/2007.Chhatna Chandidas Mahavidyalaya is located in Chhatna Block, Bankura District, West Bengal. It is located 15 KM towards west from District headquarters Bankura. A common belief that the Chhatna is the birthplace of Poet Chandidas (14th Century). College is well connected to the roadway and railway. Nearest railway station is the Chhatna Rail station (SE railway) and college lies in the side of the Bankura Purulia Road. College located in a remote area of Bankura district, catering to the academic needs of the socially backward communities, since the majority of the areas population	

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	

	<p>belongs to them with limited access to educational facilities.</p> <p>This college believes in holistic education rather than simply syllabus-oriented-study and students are provided with opportunity to join NSS Training and study tour etc.The Teachers, Teaching Supporting Staffs, Students, Parents, Alumni along with The Principal convey thanks to you for visiting the website.</p>
Purpose of Audit	To verify the implementation of the Quality Management System as per the ISO 9001:2015 Standards Requirement, verification of records for the conformity of the implementation.

**CHANGE DETAIL:**

Audit Duration for Stage 2	
Are quoted man-days adequate?	Yes
Any change in employee detail?	None
Any Change in Scope?	None
Any additional Information:	None

**Assurance Quality Certification LLC**

F14 Issue 01

Rev 01

(15.02.2021)

**ISO 9001:2015****Stage 2 Quality Audit Report****ATTENDENCE SHEET:**

<b>NAME OF PERSON</b>	<b>DESIGNATION</b>
<i>Amalesh K.S. Mandal</i>	<b>Lead Auditor</b>
<i>Malavika Sinha</i>	Principal
<i>P. Kumbhakar</i>	IQAC Coordinator
<i>Kibaran Chaki</i>	Member , Clean and Green Initiative Committee
<i>Dipanjay Mukherjee</i>	Member , Clean and Green Initiative Committee
<i>Dolan Ray</i>	Member , Clean and Green Initiative Committee
<i>Manas Dutta</i>	Convener,Clean and Green Initiative Committee

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	

**SUMMARY OF AUDIT**

AREA OF IMPROVEMENTS	
1	No such improvement points identified in current period.
2	Actual data control system observed

**Non Conformities Raised**

**0** Minor/Major Non-conformance identified in the Stage 2 audit, details of Non Conformance in F50

*Please respond by using your own corrective action form and include the root cause analysis with systemic corrective action. Failure to include root cause analysis with systemic corrective action will result in your responses being rejected by Lead Auditor*

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	



<b>Team Leader Declaration (Tick or cross Each Column as per applicability)</b>	
√	Auditing is based on a sampling process of the available information
√	Audit is combined, joint or integrated;
√	The effectiveness of corrective actions taken regarding previously identified
√	nonconformities has verified
√	outcomes are effective and complying.
√	The internal audit and management review process are effective and complying with the requirements.
√	The scope of certification is appropriate.
√	The capability of the management system to meet applicable requirements and expected
√	The audit objectives has been fulfilled and achieved.

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	

**Recommendation:**

√	<p><b>The quality system complies with the requirements of the reference standard:</b> Congratulations, on the basis of the above summary, Lead Auditor is pleased to put forward a recommendation for Issuance of Certificate. The organization can use the AQC Mark</p>
×	<p><b>The quality system complies with the requirements of the reference standard with exception of minor NC:</b> Congratulations, Team Leader is pleased to put forward a recommendation for Issuance of the certificate of Organization upon off-site verification of closure of all minor NC within 60 days from the date of Stage 2 audit. Responses to the non-conformances should be submitted to AQC and must include supporting evidence of closure to allow for off-site verification. In responding to the non-conformances, the organization should consider the root cause of the non-conformance and the potential for related issues in other parts of system.</p> <p>If all non-conformances are not closed within 60 days, a full reassessment may be required.</p>
×	<p><b>Evidence of major non conformities:</b> Organization is not recommended for Issuance of Certificate and at this time. Follow-up audit will be scheduled to allow for on-site verification and closure of all issues within 60 days from the date of Stage 2.</p> <p>Once all non-conformances are closed, the recommendation for Issuance of certification may recommended.</p> <p>If all non-conformances are not closed within 60 days, a full reassessment may be required.</p>
×	<p><b>Not Recommended:</b> Organization is not recommended for Issuance of certificate at this time. Full Stage 2 audit is required as the organisation has not implemented the system and process at pace. .</p>
	<i>Proposed Audit Date for 1<sup>st</sup> Surveillance Audit 11.07.2024 (mm/dd/yy)</i>

--

Sign Off : (Date) 12.07.2023	
AQC Report Submission	Client Acceptance for Report
Name of Team Leader: Amalesh Kr. Mandal	Name: Malavika Sinha
Signature: 	Sign 
<b>Authorization: Empanelled Auditor from IAF accredited Certification Body, IRCA and NABET Accredited QMS Auditor, and QCI Certified Certification on ISO 17020:2012.</b>	Designation: <i>Principal</i> Chhatna Chandidas Mahavidyalaya Chhatna, Bankura

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	

**AUDIT CHECKLIST**

**VERIFICATION OF DOCUMENTED INFORMATION & RECORDS AS PER STD REQUIREMENT  
(C- Conformity, NC-Non Conformity, O-Observation)**

<b>Clause Number</b>	<b>C/NC/O</b>	<b>Document Verification detail with statement of Conformity</b>
4.1 Understanding the organization and its context (Determination of external and Internal Issues)	C	Identified and included in Manual. (CCM/QMS/XXX/QMM/001) *To capture those issues Staff and student feedback process was initiated and documented for review.
4.2 Understanding the needs and expectations of interested parties (Determination, Monitor & Review of the Interested Parties)	C	Identified and included in Manual. (CCM/QMS/XXX/QMM/001) *To capture those issues Staff and student feedback process was initiated and documented for review.
4.3 Determining the scope of the quality management system (Boundaries and Type of Product and Services and any requirement not applicable)	C	Scope established and included in Manual. (Under 4.3, Page. No.13).  Scope defined in Manual and found as per course delivery. Verified against their affiliation.
4.4 Quality management system and its processes (Established, Implement and maintained, process and Interaction of Process)	C	Process Flow/Process description found established as guided by accreditation norms.
5.1.1 Leadership & Commitment (Statement of ensures)	C	Interviewed with Top Management (Here with Principal). Respective project files found available to achieve quality delivery in Green, Energy and Environment issues.
5.1.2 Customer focus (statement of conformity)	C	Interviewed with Top Management. Their course delivery as per plan and guideline. Reference Student Feedback maintained. 1.
5.2 Quality policy (Establish, Implement, Maintain, communicated and understood)	C	Quality Policy established (Under clause no. 5.2.1 in Quality manual, page no. 15) and found displayed on the college campus signed by the Principal.
5.3 Organizational roles, responsibilities and authorities	C	Defined in Manual and available in the College office.  Ref. Organogram maintained.
6.0 Planning	C	

**Assurance Quality Certification LLC**F14 Issue 01  
Rev 01  
(15.02.2021)**ISO 9001:2015****Stage 2 Quality Audit Report**

6.1 Actions to address risks and opportunities (Risk Assessment has done with prevention of undesirable effects)	C	Risk Register found maintained. (CCM/QMS/FRM/03).  Initially they have identified 3 nos Quality related risk and probable action plan has taken. Review status will be checked in the next Surveillance Audit.
6.2 Quality objectives and planning to achieve them (Documented, Measurable, Monitored and communicated)	C	Quality Objectives found established and planned to achieve action (MAP)- Doc. Ref. no. CCM/QMS/FRM/001
6.3 Planning of changes (As per 4.4) and Purpose, resource availability and allocation	C	Found available, as per committee decision and minutes.  Ref. Meeting outcome maintained.
7.1 Resources (Need of External resources, People, Infrastructure, Environment, Calibration records, Organizational Knowledge)	C	Green monitoring: Their Own monitoring data report in the form of "Green Audit" is maintained in every assessment year wise. Monitoring done against respective parameters.
7.2 Competence (Employee records & Competence skill matrix)	C	Related training records found available. <ol style="list-style-type: none"><li>1. Training on Standard given by External body "Management System Consultancy"</li><li>2. Other Training reference maintained.</li><li>3.</li></ol>
7.3 Awareness (Quality Policy, Objectives & Effectiveness of QMS)	C	Done through training and display. <ol style="list-style-type: none"><li>1. Training on Standard given by External body "Management System Consultancy"</li></ol>
7.4 Communication (what, who, when, whom, how)	C	Done through display, mail, meeting minutes.  Reference campaign details maintained theme wise.
7.5 Documented information (External Origin, Creation, Updation, Distribution, Preservation, version control, Retention and disposition)	C	Control of documented information procedure established. <ol style="list-style-type: none"><li>1. Reference: Syllabus/Course details maintained.</li><li>2.</li></ol>
8.1 Operational planning and control (Plan, Implement and control of process, documented information for process carried out as planned and Conformity of product or services)	C	Operational procedures established supported with work instructions and related records. <ol style="list-style-type: none"><li>1. Last month data checked w.r.t course/class delivery details.</li></ol>

**Assurance Quality Certification LLC**F14 Issue 01  
Rev 01  
(15.02.2021)**ISO 9001:2015****Stage 2 Quality Audit Report**

8.2.1 Customer communication (Enquiries, Contract, order, feedback, complaints)	C	Feedback and complaint system available w.r.t internal (Staff) and external (Student)
8.2.2 Determining of Requirements for products and services (Objective evidence for record of contract review and approval, Record verification of Statutory & Regulatory shall be referred here, record for communication of changes, legal requirements need to be re-verified if any concerns identified in Stage 1 audit or any new product added)	C	CHHATNA CHANDIDAS MAHAVIDYALAYAAFFILATED TO BANKURA UNIVRSTY
8.2.3 Review of the requirements for products and services (Documented Information for Result of review and any new requirements for product or services)	C	Review methodology available.  Reference: Planning of course delivery
m	C	Maintained and followed as per guideline from accreditation norms.
<b>8.3 Design and Development (D&amp;D)</b>	C	Not Applicable
8.3.1 General Establish, Maintain and Implement the D&D Process	C	Not Applicable
8.3.2 D&D Planning (Record reference) 7.3.3 D&D Inputs (Record reference for the inputs) 8.3.4 D&D Controls (Record reference & Approval) 8.3.5 D&D Outputs (Record reference for outputs) 8.3.6 D&D Changes (Record reference for changes, approved, validated & verified before implementation & actions as necessary)	C	Not Applicable
8.4.1 Control of externally provided processes, products and services (documented Information for criteria for the evaluation, selection, monitoring of performance and re-evaluation)	C	Tendering process, Vendor enrolment and evaluation records found available. Comparative statement found available against any purchase.

**Assurance Quality Certification LLC**F14 Issue 01  
Rev 01  
(15.02.2021)**ISO 9001:2015****Stage 2 Quality Audit Report**

8.4.2 Type and extent of control (Control Verification)	C	Evaluation records found available. Generally before payment the related function Head consent against the external provider.
8.4.3 Information for external providers (Competence and qualification of external provider)	C	Available with user departments
8.5.1 Control of production and service provision (Records verified work instructions for the processing including delivery and post-delivery activities, characteristic of product, equiCCMent's use and availability for monitoring and measurement)	C	Work instructions/Specifications found available followed by relevant records.  1. University Code of conduct/Manual found available.
8.5.2 Identification and Traceability (Records verified for identification batch no or serial no in process as well as final result)	C	Traceability maintained through online/offline both. Class delivery, performance management and others maintained.
8.5.3 Property belonging to customers or external providers (Documented Information of Lost or damaged property)	C	Not applicable
8.5.4 Preservation of output (objective evidence for meeting the defined storage conditions for handling, packaging, storage and protection)	C	Maintained in Laboratory materials
8.5.5 Post-delivery activities (Result outcome)	C	Maintained in database against each function wise.
8.5.6 Control of changes (Documented Information change review result, person who is authorized to changes)	C	Change control file found available. Means old/dormant documents kept separate.
8.6 Release of final outcome services (Planned Arrangement documented information for acceptance criteria and authorized person traceability)	C	Maintained through online/offline both.  Result delivered by Authorised person only.
8.7 Control of nonconforming outputs (Documented Information for Non-conformity, action taken, concession, authority deciding action)	C	Methods/Action plan found available

<b>Assurance Quality Certification LLC</b>	F14 Issue 01 Rev 01 (15.02.2021)
<b>ISO 9001:2015</b>	
<b>Stage 2 Quality Audit Report</b>	

9.1.1 Monitoring, Measurement analysis and evaluation	C	Monitoring done against set criteria.  Meeting minutes available as outcome of process.
9.1.2 Customer Satisfaction (Analysis of Customer Satisfaction)	C	Customer satisfaction analysis process found available.  Feedback taken from Staff as well as from Student both to know the Quality delivery status.
9.1.3 Analysis and Evaluation	C	Done
9.2 Internal Audit (Frequency and Documented Information for Implementation of Audit Program and the audit result)	C	Yes, Internal Audit Report w.r.t Year to year Green monitoring checks found conducted and maintained properly against all possible parameters.
9.3 Management Review (Frequency, Input, Output, Documented Information for MRM Results)	C	Yes, their committee meeting outcome was maintained. All agenda points discussed and records maintained.
10.1 Improvement – General	C	Done and included in MRM
10.2 Nonconformity and Corrective action (Documented Information for nature of NC and result of action taken)	C	Procedure established.
10.3 Continual improvement	C	Objective and monitoring data found available against respective Projects/KPI.

**END OF REPORT**